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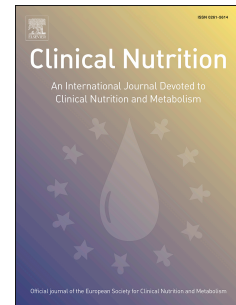
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# Accepted Manuscript

Oral nutritional support with or without exercise in the management of malnutrition in nutritionally vulnerable older people: a systematic review and meta-analysis

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**Oral nutritional support with or without exercise in the management of malnutrition in  
nutritionally vulnerable older people: a systematic review and meta-analysis**

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<http://www.crd.york.ac.uk/PROSPERO/> as CRD42015027323.

**Abstract:**

*Background:* Physical functioning declines with advancing age and compounds malnutrition, common in elderly populations. A dual-intervention combining oral nutritional support and exercise may delay these changes. Our aims were to assess whether exercise combined with oral nutritional support (ONS) has greater improvements on physical functioning, quality of life and nutritional status than nutrition intervention alone in nutritionally vulnerable older adults.

*Methods:* Three electronic databases were searched for randomised controlled trials of older adults judged to be: sarcopenic, cachexic, frail, malnourished, and/or at risk of malnutrition, receiving ONS and exercise compared to nutrition intervention alone. Meta-analyses were performed using a fixed-effect model to calculate standardised mean difference (SMD) (hand-grip strength, limb strength, gait speed, timed up-and-go test (TUG), physical activity level and fat-free mass) or relative risk (hospitalisation) with 95% confidence intervals (CIs).

*Results:* Eleven studies (n=1459 participants) were included. ONS and exercise interventions varied considerably between studies. There was very low quality evidence that exercise combined with ONS compared to nutrition intervention alone resulted in significant improvements in limb strength, (SMD = 0.33; 95% CI 0.13 to 0.53; P = 0.001) but low quality evidence of no effect on fat-free mass (SMD = -0.05; 95% CI -0.27 to 0.18; P = 0.70), physical activity level (SMD = 0.04; 95% CI -0.26 to 0.33; P = 0.81) and TUG (mean difference = -0.80; 95% CI -2.06 to 0.47; P = 0.22). Moderate quality evidence from a small number of studies found no effect on handgrip strength and QOL. Low quality evidence of faster gait speeds were found in participants receiving ONS alone compared to combined intervention (SMD = 0.38; 95% CI 0.19 to 0.56; P < 0.0001).

45 *Conclusion:* Combining exercise with ONS may provide additional improvements to muscle  
46 strength but had no effect on other measures of physical functioning, nutritional status or  
47 morbidity in nutritionally vulnerable older adults.

48 **Key words**

49 Oral nutritional support, exercise, older adults, sarcopenia, malnutrition, physical function

50

## 1. Introduction

Risk of malnutrition increases with advancing age (54) and is associated with inadequate dietary intake (45) and increasingly sedentary lifestyles. These factors contribute to sarcopenia, an age-related decline of skeletal muscle mass, and physical function (19). Malnutrition and sarcopenia may be further compounded in older adults with underlying disease by cachexia defined as illness-related persistent and progressive loss of muscle with or without loss of fat mass (2, 26). Disability, frailty and morbidity are consequential of muscle loss (4, 33) and are associated with significant impairments to quality of life (QOL) (19) and increased costs of healthcare (34) underlining the need for appropriate intervention. Although many factors influence sarcopenia, malnutrition and physical inactivity (PA) appear to be most amenable to change. Thus, targeted nutrition and exercise interventions have the potential to attenuate and even reverse age-related decline in muscle decline (28).

Research consistently demonstrates nutritional intervention can reverse malnutrition but not cachexia (39), however its impact on sarcopenia lacks clarity. Observational studies and randomised controlled trials (RCTs) demonstrate nutritional support may *preserve* muscle mass but does not appear to increase it (1, 23, 27, 31, 57, 58, 64). Furthermore the effects on physical function appear limited. Two systematic reviews found nutritional intervention improved weight gain, nutritional intake and mortality in older people at risk of malnutrition and yet had no effect on functional status (44, 46). In contrast, the benefits of exercise on maintenance and restoration of muscle mass and functioning in frail, elderly populations is<sup>1</sup>widely recognised (63) and research has shown a positive impact on muscle strength and function (28, 50, 53, 59).

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<sup>1</sup> ADL, activities of daily living; BMI, body mass index; CI, confidence interval; EOI, end of intervention; FFM, fat-free mass; I<sup>2</sup>, inconsistency; MD, mean difference; PA, physical activity;

73 Separately, nutrition and exercise interventions seem to influence lean body mass and  
74 functional status, respectively, in frail, elderly populations. Combining the two could provide  
75 greater benefits than from either alone. A systematic review of the effects of nutritional  
76 supplements together with exercise training in healthy older adults identified eight RCTs and  
77 concluded that supplements were able to augment exercise-induced increases in muscle  
78 mass which subsequently improved functional status (60). The review suggests the potential  
79 for using multimodal interventions to improve physical functioning in older adults, however, its  
80 conclusions are based on a small number of studies, included only *healthy* adults and the  
81 nutritional supplements included varied from protein drinks and amino acid supplements to  
82 single micronutrients. Whether similar benefits from the use of combined nutrition and  
83 exercise interventions would be seen in frail and undernourished older people remains to be  
84 determined.

85 Accordingly, the present systematic review and meta-analyses of RCTs was  
86 undertaken to assess whether combining exercise and nutrition interventions leads to greater  
87 improvements in physical functioning, QOL, nutritional status, mortality, and morbidity  
88 compared to nutritional support without exercise in older adults judged to be sarcopenic,  
89 cachexic, malnourished, or at risk of malnutrition.

## 2. Materials and methods

### 2.1 Protocol and registration

A protocol was completed for the systematic review using Cochrane guidelines (17, 30) and submitted to PROSPERO International Prospective Register on Systematic Reviews (52); registration number: CRD42015027323.

### 2.2 Eligibility criteria

#### 2.2.1 Studies

Included studies were RCTs conducted in acute and community settings, including cross-over and parallel designs. Observational, animal and quasi-experimental studies were excluded.

#### 2.2.2 Participants

Older adults judged to be: *cachexic* from the presence of a chronic muscle-wasting illness including cancer and HIV/AIDS; *sarcopenic* as evidenced by age, loss of fat-free mass (FFM) or increasingly sedentary behaviour; *malnourished*, and/or at risk of *malnutrition* indicated by body mass index (BMI), weight loss, inadequate dietary intake or frailty, assessed by a screening tool or judged by the review author. No pre-specified age cut-offs were used because onset and rate of muscle ageing varies between individuals and noticeable muscle losses can occur anytime from the fifth decade (21, 32). BMI judgements were in line with Beck and Ovesen's (5) suggestion of using a higher BMI cut-off ( $\text{BMI} < 24 \text{ kg/m}^2$ ) than the World Health Organisation's classification (62) and any amount of weight loss to facilitate earlier identification of malnutrition in over sixty-five's to reflect their finding that a weight loss of 5% annually was found to be clinically significant (5).

#### 2.2.3 Interventions and comparisons



Eligible RCTs compared a combined intervention of oral nutritional support with exercise to oral nutritional support alone. No pre-specified criteria were set for appropriate duration, frequency, timing, intensity/dosage or delivery mode of either the exercise or nutrition interventions. Exercise consisted of advice-giving and/or delivery of exercise sessions. 'Oral nutritional support' encompassed dietary counselling, food fortification and/or use of oral nutritional supplements. Studies comparing exercise alone vs. oral nutritional support were excluded.

#### *2.2.4 Primary outcomes*

(i) Physical functioning assessed by: handgrip strength, limb strength, physical performance (gait speed, walking test, chair-stand test, balance test), ADL, PA score and functional score; (ii) QOL; and (iii) measures of nutritional status including: skinfold thickness, limb circumference, limb area and FFM were set as primary outcomes.

#### *2.2.5 Secondary outcomes*

Mortality and morbidity assessed by hospitalisation (admission or readmission) and length of stay.

#### *2.3 Search strategy and study selection*

Three electronic databases were searched to 5 October 2015 for publications of intervention studies including Ovid MEDLINE, Cochrane Central Register of Controlled Trials and Web of Science Core Collection (SCI-EXPANDED). The search was restricted to RCTs of human adults using electronic filters. No language restrictions were applied and abstracts of publications not in English but considered eligible were translated. The following key terms were searched: (*nutritional support* OR *diet\** OR *nutrition\** OR *food\** OR *feed\** OR *eat\** OR

*meal\* OR snack\* OR nutriment\* OR fortif\* OR nourish\* OR enrich\* OR energy dense OR protein dense OR nutritional supplement\* OR oral supplement\* OR high energy OR high protein OR nutritionally complete) AND (exercis\* OR physical\* OR active\* OR workout\* OR bodybuilder\* OR gym OR sport\* OR train\* OR coach\* OR strength) AND (aged OR old\* OR age-old OR elder\* OR senior).* The searches were refined by excluding *obesity, type 2 diabetes* and related terminology. In addition to electronic searches, reference lists of articles assessed for eligibility and PubMed's 'suggested related citations' of articles identified for inclusion, were searched and assessed against inclusion criteria.

The study selection process was carried out by the review author alone as part of an undergraduate dissertation but built on searches carried out by two previous students. All articles generated from the electronic search were imported into RefWorks (Proquest, Michigan, USA); a reference management software, and duplicates were removed. The titles of remaining articles were screened for eligibility based on inclusion criteria. All titles assessed as ineligible were excluded. Post-screening, the abstracts and full-texts of remaining articles were retrieved and reviewed to determine studies for inclusion. Any articles deemed ineligible at this stage were excluded and the reasoning reported. Of the eligible articles, multiple reports of the same study were linked together to determine a list of studies confirmed for inclusion in the systematic review. The list of studies identified for inclusion was then compared against the list of studies identified in previous similar dissertations to ensure that no studies had been missed.

## 2.4 Data management

### 2.4.1 Data extraction and assessment of risk of bias

Data extraction and assessment of risk of bias were carried out by the review author alone. The following details were extracted from each study: first author, year of publication, number of participants randomised, sex (percentage female), setting and country of participants, inclusion criteria, details of study design, intervention and comparator groups including frequency, duration, follow-up times, outcome variables measured and outcome data on physical functioning, QOL, nutritional status, mortality and morbidity with number of participants in each group. Where available, continuous data were extracted as: (i) mean change or percentage mean change plus standard deviation (SD), standard error of mean or 95% confidence interval (CI), or (ii) mean or median values plus SD, standard error of mean or interquartile range at baseline and follow-up(s). When required data were not available in the publication, emails were sent to the author for correspondence of identified studies, to request the missing data.

Methodological quality within studies was determined using Cochrane Collaboration's Risk of Bias tool that focused on following domains: (i) sequence generation, (ii) allocation concealment, (iii) blinding of participants, (iv) blinding of personnel and outcome assessors and (v) selective outcome reporting (27). Judgements of low ( '+'), unclear ( '?') or high risk of bias ( '-') were made according to criteria specified by Cochrane and summarised with domains symbol-coded.

### 2.4.2 Data synthesis

For continuous outcomes, mean change data with SDs were extracted or, if unavailable, calculated from baseline and end of intervention (EOI) values for each group. Missing SDs were either: (i) calculated from standard error of mean or 95% CI, if available, or (ii) imputed

using a SD from a trial of similar length and intervention as judged by the review author (30). A full-text article could not be obtained for the trial by Kandell (35) and only baseline and EOI means were reported in the abstract. Number of participants at EOI was estimated using the mean attrition rate of all other included trials with similar exercise interventions. SDs were imputed from another trial (28) judged to be similar in terms of population group and length of intervention. Where possible data were combined using meta-analysis to generate a mean difference (MD) or standardised mean difference (SMD). SMD was used for analyses of ordinal data (PA level), and continuous data (FFM and limb strength) when the same outcomes were measured using different instruments, scales or body parts.

Four of nine studies included in the quantitative analyses presented data at multiple time points during the trial or beyond the EOI. For comparisons, meta-analyses were conducted on data collected at the EOI only. Data on dichotomous outcomes were extracted and combined using meta-analysis to generate relative risks. Though two studies measured and reported balance, they were not comparable as different types of data were used (52, 54).

All analyses were carried out in Review Manager (RevMan) 5.3 using a fixed-effect meta-analysis. A P value of  $\leq 0.05$  was considered statistically significant. Overall heterogeneity was assessed by measuring inconsistency ( $I^2$ ) based on chi-squared test. The following classification of heterogeneity was used:  $I^2 \leq 50\%$ : *low*,  $I^2 > 50$  to  $< 75\%$ : *moderate*, and  $I^2 \geq 75\%$ : *considerable* based on Cochrane's rough guide to interpretation of  $I^2$  (30). For studies where missing data could not be obtained, results were summarised in narrative.

The main results of the review were displayed in a summary of findings table. For each primary outcome measure: a brief description of included studies (number of trials and participants, and mean follow-up); the quality of evidence; and SMD/MD (95% CI) of nutrition and exercise vs. nutrition interventions, were presented. Quality of the studies were judged

203 by the review author using Grading of Recommendations Assessment, Development and  
204 Evaluation (GRADE) Working Group (3) as specified by Cochrane. Limitations of study  
205 design, indirectness of results, unexplained heterogeneity or inconsistent results, imprecision  
206 of results and publication bias were factors that lower the quality level of evidence. After  
207 consideration of study type and these factors the review author deemed evidence as 'high',  
208 'moderate', 'low' or 'very low' quality and gave brief reasons within the table.

### 3. Results

#### 3.1 Study characteristics

Eleven RCTs comprising 1,459 participants were identified for inclusion in the systematic review. **Figure 1** displays the results of the search and study selection process. Full-texts were obtained for ten trials from which detailed study characteristics were retrieved (**Table 1**). Only the abstract was available for the remaining study and contained limited data and trial information (35). Of the included studies participants were: aged sixty-five or over; female dominant (range: 60-100% of sample populations); defined as frail, sarcopenic, undernourished or at risk of malnutrition indicated by BMI cut-offs and amounts of weight loss, and/or reduced mobility; and the majority were community-based. Three trials were conducted in an acute setting: the trial by Blanc-Bisson (6) was conducted on acutely ill, bedridden or reduced mobility patients in hospital; Miller (43) included participants from a hospital setting following a lower limb fracture; and Kandel's (35) population group was selected from a tertiary care centre in India.

Exercise and nutrition interventions were diverse. The majority of studies focused on high intensity and/or progressive resistance sessions whilst others incorporated Nordic walking (35) or intensive physiotherapy rehabilitation (6) as the exercise component of the intervention. Oral nutritional supplements were the most popular approach to nutritional support with some trials focusing on single macronutrients (37,38) or micronutrients only (50). Additionally, length of intervention varied across trials: the majority were three months, the shortest was 12.6 ( $\pm$  SD 6.4) days (6) and the longest was nine months (8).

#### 3.2 Risk of bias assessment

Overall methodological quality of included studies ranged from low to adequate (**Table 2**). Due to the nature of exercise interventions and the impossibility of blinding participants to

these, all studies were judged to be at high risk of performance bias. Although some trials attempted to reduce performance bias by blinding or double blinding the nutrition intervention (6, 8, 20, 28, 49). Two studies were judged to be low risk of selection, detection, attrition and reporting biases and therefore suggested good methodological quality (37, 38). Many studies failed to address selection bias: five did not use a random allocation sequence and five did not provide sufficient information on allocation sequence in their methodology to permit judgement.

### 3.3 Primary outcomes

Data were available for quantitative analysis from nine included studies. (**Table 3**). There were no statistically significant differences in FFM (five studies; SMD -0.05; 95% CI -0.27 to 0.18;  $P = 0.70$ ), PA level (three studies; SMD 0.04; 95% CI -0.26 to 0.33;  $P = 0.81$ ) and timed up-and-go test (two studies; MD -0.80; 95% CI -2.06 to 0.47;  $P = 0.22$ ) between the participants who received either nutrition and exercise or nutrition intervention alone. No heterogeneity was observed for FFM ( $I^2 = 0\%$ ;  $P = 0.53$ ), PA level ( $I^2 = 0\%$ ;  $P = 0.99$ ) and low heterogeneity was observed for timed up-and-go test ( $I^2 = 32\%$ ;  $P = 0.23$ ).

Data on muscle strength, including handgrip and limb strength, were available from eight studies and were analysed both separately and in a combined analysis (**Figure 2**). Subgroup analysis of data from two studies of handgrip strength showed there was no statistically significant difference in handgrip strength between participants who either received nutrition and exercise or nutrition intervention alone (SMD 0.22; 95% CI -0.14 to 0.58;  $P = 0.23$ ), low heterogeneity was observed ( $I^2 = 31\%$ ;  $P = 0.23$ ). Subgroup analysis of data from six studies on limb strength showed that participants who received nutrition and exercise had greater limb strength than those who received nutrition intervention alone (SMD 0.33; 95% CI 0.13 to 0.53;  $P = 0.001$ ), low heterogeneity was observed ( $I^2 = 40\%$ ;  $P = 0.14$ ). Combined analysis of data from all studies showed participants who received nutrition and

exercise had greater muscle strength than those who received nutrition intervention alone (SMD 0.30; 95% CI 0.13 to 0.48;  $P = 0.0008$ ), low heterogeneity was observed ( $I^2 = 30\%$ ;  $P = 0.19$ ).

Data on gait speed were available from seven studies (**Figure 3**). Participants receiving nutrition intervention alone had faster gait speeds than those receiving nutrition and exercise (SMD 0.38; 95% CI 0.19 to 0.56;  $P < 0.0001$ ), low heterogeneity was observed ( $I^2 = 35\%$ ;  $P = 0.16$ ).

Three studies did not have data available for quantitative analysis. Blanc-Bisson (6) reported factor analyses of baseline data but not raw outcome data. Although some results were reported in narrative. The intensive physiotherapy rehabilitation and nutritional supplement had no significant additional effect on handgrip strength, ADL, and 'other anthropometric measures' (p. 397); assumed to include skinfold thickness and limb circumference, compared to usual physiotherapy care and nutritional supplement. Bonnefoy et al (8) analysed data from four groups: (i) nutritional supplement plus control activity, (ii) supplement plus exercise, (iii) placebo plus exercise and (iv) placebo plus control activity, in a factorial design trial comparing exercise with control and supplements with placebo. Though de Jong (20) reported data on FFM, like Bonnefoy (8), all other outcome data were reported in factorial analyses. Email requests for the required data were sent but no response received.

### 3.4 Secondary outcomes

There was no statistically significant difference in hospitalisation (two studies; relative risk 0.73; 95% CI 0.15 to 3.53;  $P = 0.70$ ) between the participants who received either nutrition and exercise or nutrition intervention alone. No heterogeneity was observed for hospitalisation ( $I^2 = 0\%$ ;  $P = 0.54$ ).



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#### 4. Discussion

The aim of this systematic review was to assess whether exercise provides additional benefits when combined with nutritional support on physical functioning, QOL, nutritional status, morbidity and mortality in nutritionally vulnerable older adults. Findings indicate very low quality evidence that a combined intervention leads to greater improvements in muscle strength (**Figure 2**) and low quality evidence of a lack of effect on mobility, functional independence, lean body mass and morbidity compared to nutritional support alone (**Table 3**). Moderate quality evidence from two studies suggested no benefits of combined intervention on handgrip strength. Unexpectedly, the combined intervention led to reduced mobility with slower gait speeds than nutritional support alone (**Figure 3**). No studies reported mortality and only one measured QOL and as such these outcomes were not assessed.

The effects of exercise combined with nutritional support on muscle strength seen in this review are similar to high quality evidence from a Cochrane review that assessed the effects of progressive resistance training without nutritional supplementation on functional status in older adults (41). They also found positive effects on muscle strength (73 RCTs; SMD 0.84; 95% CI 0.67 to 1.00), as well as improved physical ability (22 RCTs; SMD 0.14; 95% CI 0.05 to 0.22), gait speed (24 RCTs; MD 0.8 m/s; 95% CI 0.04 to 0.12) and getting out of a chair (11 RCTs; SMD -0.94; 95% CI -1.49 to -0.38). The findings of this review contrast with those of Lui *et al* in not finding improvements in functional measures other than muscle strength. This could be due to the limited number of studies identified (n= 11, compared with n= 121 in the Cochrane review) and heterogeneous interventions. There was considerable heterogeneity in the types and intensity of both interventions between studies. Specifically, exercise interventions used in studies varied from high intensity resistance exercise in some

studies, moderate intensity exercise individualized to participants ability, Nordic walking and a physiotherapy programme in hospitalized patients. In addition, studies used different inclusion criteria to identify a nutritionally frail population. Diverse and heterogeneous populations and interventions as well as variations in reporting of outcomes may explain some of the inconsistent effects. The findings on the potentially beneficial effects on limb strength should be considered together with the quality of evidence. There was only very low quality evidence of a positive effect on limb strength. All studies were small in size and only one study (37) was at an overall low risk of bias. The remaining studies were at high or unclear risk of bias for one or more elements and their findings should be viewed with an appropriate level of caution. Whilst it is tempting to focus on the statistically significant findings of any investigation, it should be noted that the higher quality evidence was for handgrip strength which showed no difference between groups, although data were available from only few small studies.

Furthermore this review did not investigate the effects of exercise alone compared with ONS combined with exercise, making it difficult to establish whether nutritional support enhances the benefits of exercise on muscle strength. In addition, the Cochrane review included both healthy *and* frail older adults, whereas this review focused only on frail undernourished adults, who were more likely to require nutritional support and may have been less able to exercise.

The positive effect of nutritional support on gait speed is supported by a RCT which found protein-energy supplementation significantly reduced decline in gait speed versus controls in frail older adults (36). This suggests the importance of nutrition intervention in slowing age-related functional decline. The unexpected finding was that exercise combined with nutritional intervention did not further improve gait speed. Many studies contradict this finding. A systematic review (42) and three later RCTs (16, 29, 61) showed exercise improved gait speed among older populations. All but one of these studies (29) included

healthy older adults. Dietary provision for undernourished participants in the present review may have been inadequate to meet increased nutritional requirements resulting from greater energy expenditure in the combined nutrition and exercise groups. Evidently only one RCT tailored nutritional support to each participant (55). Thus suboptimal nutritional support may explain why findings failed to show added benefits of exercise with nutritional support on mobility.

Additionally, neglecting to individualise nutritional support may have contributed to the lack of effect of either intervention on other measures of physical functioning and nutritional status. Nutritional interventions targeted at older adults can prevent muscle decline provided intake of protein is adequate (25). Protein requirements are based on provisions required for nitrogen balance (63). Metabolic studies conducted by Campbell (10) found current recommendations for protein intake appear to be insufficient to maintain this balance in older adults. Therefore higher than recommended protein intakes are likely to be required for physical functioning on top of nitrogen balance (7). As the majority of included studies provided supplements without full nutritional assessment and, where reported, compliance varied from 54 to 99%; it cannot be assumed dietary requirements were met. This may be the reason no positive effect was observed on lean body mass.

Research suggests timing of supplement delivery is an important consideration for maintaining or increasing FFM in nutrition and exercise interventions. Consuming supplements in close proximity to exercise appears to enhance exercise-induced effects on muscle mass (11, 18, 24). Yet only one study in this review considered timing of supplementation. Participants received supplements within five minutes post-exercise, but the timed intervention did not influence lean body mass (14). Other RCTs have also demonstrated timing of nutritional supplement does not augment the effect of exercise on muscle mass in the elderly (12, 13, 22). Although, these trials were conducted on healthy

elderly populations, the majority of which were men. Participants in the present review were nutritionally vulnerable older adults and the majority were women, hence reducing the comparability of findings from the RCTs to this population group.

Precautions were taken to minimise reporting bias in the review process. A protocol was completed, the review was registered, multiple databases were searched and no time or language restrictions were implemented in the search strategy. However, unpublished trials were not sought after, there was no independent screening of titles and abstracts during the study selection stage although the same search was undertaken by two previous students and it was possible to compare the studies identified for this review with those identified previously which strengthens this aspect of the review. Reporting bias could not be assessed using a funnel plot due to limited number of studies available for quantitative analysis. Furthermore, even though Kim 's two trials (37, 38) used the same target population, they were included in the review as two separate studies due to different participant criteria and nutritional interventions. Therefore, multiple publication bias may have overestimated effects of dual-intervention on outcome measures when both studies were included. Due to restricted time and resources and the unavoidable publication bias of positive significant findings across literature, reporting bias was inevitable and limits findings of the present review. Another limitation was small sample sizes from studies, ranging from thirteen to forty-nine limiting generalisability of findings to wider frail elderly populations.

The importance of PA and nutritional support for the wellbeing of older populations is highlighted by national guidelines. British Association for Parenteral and Enteral Nutrition's (BAPEN's) toolkit for the provision of nutritional care (9) recognises National Institute for Health and Care Excellence (NICE) guidelines on malnutrition (47) as 'good nutritional care'. In addition, BAPEN (9) identifies a vicious cycle of fatigue and inactivity related to malnutrition causing reduced muscle strength, leading to reduced ability to shop and cook, exacerbating a

malnourished state. Regardless, NICE (47) has not incorporated PA into recommendations for nutritional support in adults. Although different NICE guidelines on mental wellbeing for over sixty-five's (48) recommend 'strength and resistance exercise, especially for frail older people'. Despite the role of nutrition and exercise on the functionality of frail older adults, they are addressed separately in national recommendations without guidance for integration. The lack of detail surrounding optimal approach and monitoring of dual-intervention has likely contributed to clinical heterogeneity of research in this area.

In summary, there is limited very low quality evidence from RCTs that exercise provides additional improvements to muscle strength alongside nutritional support in nutritionally vulnerable older adults. The effects on other measures of functional status, QOL, nutritional status, morbidity and mortality remain unclear due to a small number of inadequately powered studies. Further research is warranted surrounding optimal exercise intervention and individualisation and timing of nutritional supplements in relation to exercise on the functional and nutritional status in frail, undernourished older adults. More emphasis should be placed on the tools used to define nutritional status at inclusion in future trials as consensus moves towards the importance of function as an important element of nutritional vulnerability (15). Recommendations combining nutrition and exercise interventions for this population group may then be developed in light of research findings.

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**Statement of authorship**

Design and supervision of the research; CB. Conduct of the research, analysis and interpretation of data; JW. Writing the manuscript and primary responsibility for final content; JW. Both authors participated in editing and final revisions of the manuscript. Reading and approval of the final manuscript; CB.

**Conflict of Interest Statement**

Authors have no conflicts of interest to declare.

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ACCEPTED MANUSCRIPT

Table 1: Characteristics of included studies

Study ID	Total number of participants	Population description	Inclusion criteria	Study methods	Intervention description	Comparison	Frequency & duration	Follow-up	Outcomes <sup>a</sup>
<b>Blanc-Bisson 2008 (6)</b>	76	Hospitalized patients recruited within 1 d of admission to an acute-care geriatric medicine unit. France. 72% female.	(1) Aged >70, & (2) confined to bed or walking from bed to chair with human assistance.	Prospective, 2-arm RCT: (1) intensive physiotherapy rehabilitation, and (2) usual care.	Physiotherapy : Usual care plus physiotherapy program started d 1-2 (working on triceps, legs & pelvis).	Usual care: transferred from bed to chair as soon as possible & starting to walk from d 3-6. Plus hospital diet (1800-2000 kcal/d) and 1 ONS (200 kcal, 15 g protein).	Usual care: from d 3-6 3 sessions/ wk until discharge.  Physiotherapy: 30 mins twice daily 5 x/ wk until clinically stable then usual care.	When patients clinically stable and 1 mo later.	Body weight, BMI, <b>calf and arm circumference</b> , <b>triceps SFT</b> , energy and protein intakes, serum albumin, CRP, <b>ADL</b> and <b>handgrip strength</b> Ax at baseline, & when clinically stable and/or after 1 mo.
<b>Bonnefoy 2003(8)</b>	57	Frail elderly from 16 retirement homes, France; 88% female.	(1) Aged >72, & (2) frail.	4-arm RCT: (1) supplement & memory, (2) supplement & exercise, (3) placebo & exercise, & (4) placebo & memory.	ONS: 200 ml (200 kcal, 25 g carbohydrate, 15 g protein and 4.4 g fat plus 50% RDA for vitamins and minerals).  Exercise: moderate, intensity progressive strengthening, balance	Placebo: drink containing no energy, protein, vitamins or minerals.  Memory: memory sessions 3 x/ wk.	ONS: 2 x/ day for 9 mo.  Exercise: 60 mins 3 x/ wk for 9 mo.	EOI.	<b>Chair-stand test</b> and <b>RMR</b> baseline and EOI.  <b>FFM, muscle power, walking test</b> , stair climbing and BMI at baseline, 3 mo and EOI.



					training and flexibility exercises.				
<b>Paw 2001 (50), Paw 2002 (51) de Jong, 2000a (20)</b>	217	Free-living frail elderly. The Netherlands ; 72% female.	(1) Aged $\geq 70$ (2) required health care, (3) inactivity, (4) BMI $\leq 20$ kg/m <sup>2</sup> , (5) no multivitamin supplements, & (6) understood study procedures.	4-arm RCT: (1) control, (2) nutrition, (3) exercise, & (4) nutrition & exercise.	Nutrition: micronutrient-dense, fruit-based products & dairy products (115 kcal; met 100% Dutch RDA for vitamins plus 25-100% RDA for minerals).  Exercise: group sessions on maintenance/improvement of mobility & performance of ADL..	Control: regular food product (115 kcal; not fortified) & social programme consisting of 1 x 90 min session every 2 wks.	Nutrition: consumption of 1 fruit-based & 1 dairy product/ day for 17 wks.  Exercise: 45 mins 2 x/ wk for 17 wks.	EOI.	Body weight, BMI, <b>FFM</b> , fat mass, WC, HC, WHR, bone mass, BMD and bone calcium, <b>functional capacity</b> (. balance, gait speed, chair-stand test), <b>physical fitness ADL</b> , <b>PA</b> and <b>wellbeing</b> at baseline & EOI.
<b>Fiatarone 1994 (28)</b>	100	Frail nursing home residents, USA; 63% female.	(1) Aged $\geq 70$ , & (2) able to walk 6 m.	4-arm RCT: (1) training, (2) ONS, (3) training & ONS & (4) control.	Training: high intensity progressive resistance training.  ONS: 240 ml (360 kcal: 60% CHO, 23% fat, 17% protein, $\frac{1}{3}$ RDA vitamins & minerals).	Placebo activities: 3 recreational activities.  Placebo drink: 240 ml (4 kcal)..	Training: 45 mins 3 x/ wk for 10 wks.  ONS: 1 x/ day for 10 wks.	EOI.	<b>Muscle strength, gait speed</b> , stair-climbing power, <b>PA level, mid-thigh circumference</b> , <b>thigh muscle area</b> , body weight, <b>FFM</b> and energy intake at baseline and EOI.
<b>Kandel</b>	90	Frail older	(1) Aged $\geq 70$ ,	3-arm RCT:	Diet: ONS.	Control:	ONS: 8 wks.	EOI.	<b>Gait speed</b> ,

<b>2014 (35)<sup>b</sup></b>		patients in geriatrics department of tertiary care hospital, India.	& (2) frail.	(1) diet, (2) diet & exercise, & (3) control.	Exercise: Nordic walking training.	dietary counselling.	Exercise: 30 mins 5 x/ wk for 8 wks.  Control: 8 wks.		<b>grip strength and frailty score</b> at baseline and EOI.
<b>Kim 2012 (37)</b>	155	Sarcopenic elderly people from an urban community, Japan; 100% female.	(1) Aged $\geq 75$ , & (2) 1 or more of: (i) appendicular skeletal muscle mass/ $ht^2 < 6.42 \text{ kg/m}^2$ & knee extension strength $< 1.01 \text{ Nm/kg}$ , (ii) appendicular skeletal muscle mass/ $ht^2 < 6.42 \text{ kg/m}^2$ & usual walking speed $< 1.22 \text{ m/s}$ , (iii) BMI $< 22 \text{ kg/m}^2$ & knee extension strength $< 1.01 \text{ Nm/kg}$ , & (iv) BMI $< 22 \text{ kg/m}^2$ & usual walking speed $< 1.22 \text{ m/s}$ .	4-arm RCT: (1) exercise & ONS, (2) exercise, (3) ONS, & (4) health education.	Exercise: warm up, strength, balance, gait training & cool down plus progressive resistance.. ONS: 3 g leucine-rich amino acid powders taken with water/ milk.	Health education: 3 classes 1x mo for 3 mo.	Exercise: 60 mins 2 x/ wk for 3 mo.  Supplement: 3 g amino acid mixture 2 x/ day for 3 mo.	EOI.	BMI, <b>FFM</b> , usual & maximal <b>walking speeds &amp; knee extension strength</b> at baseline & EOI.
<b>Kim, 2015(38)</b>	131	Frail elderly people from an urban community, Japan;	(1) Aged $\geq 75$ , & (2) 3 or more of: (i) wt loss $> 2\text{-}3 \text{ kg}$ in 6 mo, (ii)	4-arm RCT: (1) exercise & ONS, (2) exercise & placebo, (3)	Exercise: warm up, strength, balance, gait training & cool	Placebo: 6 x whole milk powder tablets 1 x/ day.	Exercise: 60 mins 2 x/ wk for 3 mo.  ONS: 6 MFGM tablets 1 x/ day	EOI and 7 mo.	Frailty, BMI, <b>FFM</b> , <b>leg muscle mass</b> , BMD, fat mass, <b>handgrip</b>

		100% female.	weakness: grip strength <19 kg, (iii) slow walking speed: <1.0 m/s, (iv) exhaustion, & (v) low activity.	ONS, & (4) placebo.	down plusrogressive resistance.  Supplement: 1 g MFGM.		for 3 mo.		<b>strength, knee extension strength, walking speed, TUG test</b> , serum brain-derived neurotrophic factor, serum insulin-like growth factor-1 (IGF-1), serum IGF-1 binding protein, serum myostatin & growth hormone at baseline, EOI & 7 mo.
<b>Miller 2006(43)</b>	100	Nutritionally at-risk older adults hospitalised, following a fall-related lower limb fracture, Australia; 79% female.	(1) Aged ≥70, (2) Fall-related lower limb fracture, (3) Comprehend instructions, (5) fully bear weight on side of injury post 7 days, (6) malnourished: <25th percentile for MUAC, & (7) independently mobile pre-fracture.	4-arm RCT: (1) ONS, (2) training, (3) ONS & training, & (4) control.	Training: progressive resistant training.  ONS: <i>Fortisip</i> (1.5 kcal/ml: 49% carbohydrate, 35% fat and 16% protein) meets 45% of individual estimated energy requirements.	Control: general information on diet & exercise. 3 x/ wk for weeks 1-6 then 1 x/ wk for weeks 7-12.	Training: 20-30 min sessions 3 x/ wk for 3 mo.  ONS: 4 x doses of equal volume /day.	EOI.	Body weight weekly. <b>Gait speed</b> at EOI. <b>Quadricep strength, QOL</b> and <b>hospitalisation</b> at baseline and EOI.
<b>Ng 2015 (49)</b>	246	Community-living pre-frail & frail	(1) Aged ≥65, (2) independently	5-arm RCT: (1) ONS, (2) cognitive	Physical training: moderate,	Control: access to one	Physical training: 90 mins 2 x/ wk for 3 mo then 3	EOI & 12 mo.	Frailty score, reduction in frailty, BMI,

		older adults. Singapore; 61% female.	mobile, (3) living at home, &(4) pre-frail or frail using physical frailty criteria.	training, (3) physical training, (4) ONS & cognitive & physical training, & (5) control.	progressive intensity exercise sessions.  ONS: (1) <i>Fortisip Multi Fibre</i> plus (2) iron & folate, (3) vitamin B <sub>6</sub> & B <sub>12</sub> , & (4) calcium & vitamin D supplements.  Cognitive training..	standard care health & aged care services & received placebo drink&tablets 1 x/ day for 6 mo.	mo of home-based exercises.  ONS: taken 1 x/ day for 6 mo.  Cognitive training: 2 hr sessions 1 x/ wk for 3 mo then 2 hr sessions 1 x/ fortnight for 3 mo.		<b>knee extension, gait speed, energy score, dependency, hospitalisation &amp; falls</b> at baseline, 3 mo, EOI & 12 mo.
<b>Rosendahl 2006 (53)&amp; Carlsson 2011 (14)</b>	191	Residents at 9 care facilities, Sweden; 73% female.	(1) Aged ≥65, (2) dependent for ADL, (3) able to stand from chair with armrests with help from no more than one person, & (4) MMSE score ≥10.	RCT : (1) exercise & ONS, (2) exercise & placebo, (3) control & ONS, & (4) control & placebo.	Exercise: High- intensity functional exercises.  ONS: Protein-enriched energy 200ml (98 kcal, 7.4 g protein, 15.7 g CHO per 100g).	Control activities involved sitting.  200ml placebo (47 kcal, 0.2 g protein, 10.8 g CHO per 100g).	Frequency of exercise sessions varied based on individual recommendations . 45 min each over 3 mo. ONS offered 5 mins after exercise .	EOI & 6 mo.	<b>Balance score, gait speed, lower-limb strength, FFM</b> and body weight at baseline, EOI & 6 mo.
<b>Rydwik 2008 (55), Rydwik 2010 (56), Lammes 2012 (40)</b>	96	Community-living people receiving home services; Sweden, 60% female.	(1) Aged ≥75, (2) unintentional weight loss ≥5% &/or BMI ≤20 kg/m <sup>2</sup> , & (3) low PA level graded with a PA scale.	4-arm RCT: (1) training, (2) nutrition, (3) training & nutrition, & (4) control.	Training: individualised physical training, plus general diet advice.  Nutrition: individualised dietary	General physical training advice & general diet advice.	Training: 2 x 1 hr sessions/ wk for 3 mo.  1 x 1 hr dietary counselling session plus 5 group sessions.	EOI, 9 & 24 mo.	<b>Limb strength</b> (leg press, dips, pull-down, step ups), <b>balance</b> (tandem and one leg stances, step tests), <b>mobility</b> (TUG tests,

					counselling & if appropriate, ONS, plus group education sessions & general physical training advice.				walking speed), RMR, body weight, BMI, <b>FFM</b> , fat mass, WC, energy intake and health beliefs at baseline, EOI & 9 mo.  <b>Habitual PA level &amp; personal ADL</b> at baseline, EOI, 9 mo & 24 mo.

<sup>a</sup> - outcome measures in bold are assessed in this review.

<sup>b</sup> - Kandel et al, 2014 full-text not available; information from abstract only.

Abbreviations: ADL, activities of daily living; Ax, assessment; BMD, bone mineral density; BMI, body mass index; CHO, carbohydrate; CRP, C reactive protein; EOI, end of intervention; FFM, fat-free mass; HC, hip circumference;; MFGM, milk fat globule membrane; MMSE, Mini Mental State Examination; MNA, Mini Nutritional Assessment; mo, month; MUAC, mid-upper arm circumference; ONS, oral nutritional supplement; PA, physical activity;; QOL, quality of life; RDA, recommended daily allowance; RMR, resting metabolic rate;; SFT, skinfold thickness; TUG, timed up-and-go; WC, waist circumference; WHR, waist-to-hip ratio.

Table 2: Risk of bias summary.

Study ID	Random sequence generation (selection bias)	Allocation concealment (selection bias)	Blinding of participants and personnel (performance bias)	Blinding of outcome assessment (detection bias)	Incomplete outcome data (attrition bias)	Selective reporting (reporting bias)	Other bias
Blanc-Bisson 2008 (6)	-	?	-	?	?	-	+
Bonnefoy 2003(8)	+	+	-	?	-	-	+
Paw 2001 (50), Paw 2002 (51), de Jong 2000a (20)	?	+	-	-	+	-	+
Fiatarone 1994 (28)	+	?	-	?	+	+	+
Kandel 2014 (35) <sup>b</sup>	?	?	-	?	?	?	?
Kim 2012 (37)	+	+	-	+	+	+	+
Kim 2015(38)	+	+	-	+	+	+	+
Miller 2006(43)	-	+	-	+	-	+	+
Ng 2015 (49)	-	?	-	+	+	+	+
Rosendahl 2006 (53)& Carlsson, 2011 (14)	-	+	-	+	-	-	+
Rydwik 2008 (55), Rydwik 2010 (56), Lammes 2012 (40)	-	?	-	-	-	+	+

Key: + = Low risk of bias; ? = Unclear risk of bias; - = High risk of bias

Table 3: Summary of findings

Outcomes	Participants (studies), Follow-up	Quality of the evidence (GRADE)	Nutrition & exercise intervention vs. nutrition MD/SMD (95%CI)
<b>Physical functioning</b>			
Handgrip strength	121 (two studies) mean = 2.5 mo	<b>+++ Moderate</b> Limited by small number of studies with small sample sizes.	0.22 (95% CI -0.14 to 0.58)
Limb strength	390 (six studies) mean = 3.4 mo	<b>+ Very low</b> Limited by some studies with overall high risk of bias of methodological design. Indirectness due to differences in outcome measures, e.g. limb assessed. Inconsistency with some studies showing no effect and others a significant beneficial effect of nutrition and exercise combined.	<b>FAVOURED NUTRITION AND EXERCISE</b> 0.33 (95% CI 0.13 to 0.53)
Gait speed	256 (seven studies) mean = 3.2 mo	<b>++ Low</b> Limited by some studies with overall high risk of bias of methodological design. Inconsistency with some studies showing no effect and others a significant beneficial effect of nutrition alone.	<b>FAVOURED NUTRITION</b> 0.38 (95% CI 0.19 to 0.56)
TUG test	102 (two studies) mean = 3 mo	<b>++ Low</b> Limited by one study with overall high risk of bias in methodological design. Limited by small number of studies with small sample sizes	-0.80 (95% CI -2.06 to 0.47)
PAL	182 (three studies) mean = 3.8 mo	<b>++ Low</b> Limited by small number of studies with small sample sizes. Indirectness due to differences in intervention duration ranging from 10 weeks to 6 months.	0.04; 95% CI -0.26 to 0.33
<b>Quality of life</b>			
QOL	55 (one study) 3 mo	<b>+++ Moderate</b> Limited by small number of studies with small sample sizes and moderate risk of bias of methodological design.	Not estimable
<b>Nutritional status</b>			
FFM	297 (five studies), mean = 3.2 mo	<b>++ Low</b> Limited by some studies with overall high risk of bias of methodological design. Indirectness due to differences in outcome measures, e.g. one study used whole-body potassium, others used SFT or body impedance analysis to estimate FFM.	-0.05 (95% CI -0.27 to 0.18)

**Abbreviations:** CI confidence interval; FFM, fat-free mass; MD, mean difference; mo, month; PAL, physical activity level; QOL, quality of life; SFT, skinfold thickness; SMD, standardised mean difference. TUG, timed up-and-go.

Nutrition and exercise intervention compared with nutrition intervention alone on physical functioning, QOL and nutritional status in sarcopenic, cachexic and/or nutritionally vulnerable older adults.

<sup>a</sup> - **GRADE (Grading of Recommendations Assessment, Development and Evaluation) Working Group grades of evidence (Balshem et al, 2011 (3)):**

**++++ High quality** - We are very confident that the true effect lies close to that of the estimate of the effect

**+++ Moderate quality** - We are moderately confident in the effect estimate: The true effect is likely to be close to the estimate of the effect, but there is a possibility that it is substantially different.

**++ Low quality** - Our confidence in the effect estimate is limited: The true effect may be substantially different from the estimate of the effect.

**+ Very low quality** - We have very little confidence in the effect estimate: The true effect is likely to be substantially different from the estimate of effect.



## Appendix 1

## Full search strategies for three databases

## 1.1 Medline

Set	Search terms	Search type	Results
1	<b>Subject Heading:</b> Nutritional Support	Advanced	39344
2	<b>Keywords:</b> ((nutritional support or diet* or nutrition* or food* or feed* or eat* or meal* or snack* or nutriment* or fortif* or nourish* or enrich* or energy dense or protein dense or nutritional supplement* or oral supplement* or high energy or high protein or nutritionally complete) NOT obese or obesity or overweight or type 2 diabetes)	Advanced	1310970
3	1 or 2	Advanced	1311422
4	<b>Subject heading:</b> Exercise	Advanced	131133
5	<b>Keywords:</b> ((exercis* or physical* or activ* or workout* or body build* or gym or sport* or train* or coach* or strength*) NOT obese or obesity or overweight or type 2 diabetes)	Advanced	4678094
6	4 or 5	Advanced	4707299
7	<b>Subject heading:</b> Aged	Advanced	2511168
8	<b>Keywords:</b> ((old* or age-old or elder* or senior) NOT obese or obesity or overweight or type 2 diabetes)	Advanced	4730689
9	7 or 8	Advanced	4787964
10	3 and 6 and 9	Advanced	59596
11	10 and <b>Refined by: Publication Type:</b> "Randomized Controlled Trial"	Advanced	6419
12	11 and <b>Refined by: Subjects:</b> "Humans"	Advanced	6248
13	12 and <b>Refined by: Subjects:</b> "Adult"	Advanced	3542
1	<b>Subject Heading:</b> Nutritional Support	Advanced	39344

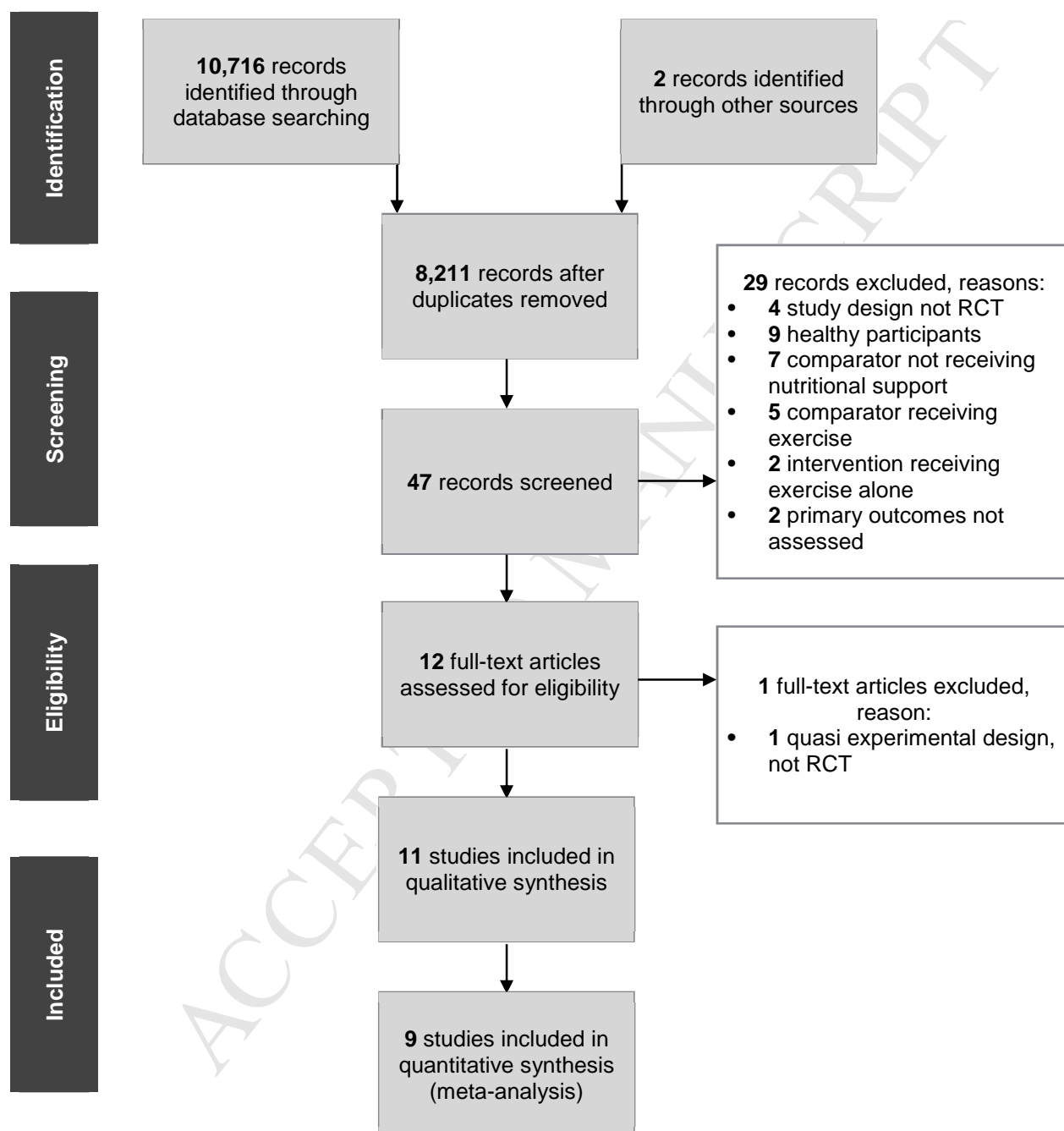
## 1.2 Web of Science

Set	Search terms	Search type	Results
1	<b>Topic:</b> (nutritional support or diet* or nutrition* or food* or feed* or eat* or meal* or snack* or nutriment* or fortif* or nourish* or enrich* or energy dense or protein dense or nutritional supplement* or oral supplement* or high energy or high protein or nutritionally complete) <b>NOT Topic:</b> (obese or obesity or overweight or type 2 diabetes or animal or infant or child* or adolescent*)	2709658	1
2	<b>Topic:</b> (exercis* or physical* or active* or workout* or bodybuilder* or gym or sport* or train* or coach* or strength) <b>NOT Topic:</b> (obese or obesity or overweight or type 2 diabetes or animal or infant or child* or adolescent*)	2663621	2
3	<b>Topic:</b> (aged or old* or age-old or elder* or senior) <b>NOT Topic:</b> (obese or obesity or overweight or type 2 diabetes or animal or infant or child* or adolescent*)	1879950	3
4	1 and 2 and 3	32199	4
5	4 and <b>Refined by: Topic:</b> (randomised controlled trial* or randomised controlled clinical trial* or randomised controlled study or randomised controlled clinical study or randomized controlled trial* or randomized controlled clinical trial* or randomized controlled stud* or randomized controlled clinical stud* randomised-controlled trial* or randomised-controlled clinical trial* or randomised-controlled study or randomised-controlled clinical study or randomized-controlled trial* or randomized-controlled clinical trial* or randomized-controlled stud* or randomized-controlled clinical stud*)	2344	5

## 1.3 Cochrane

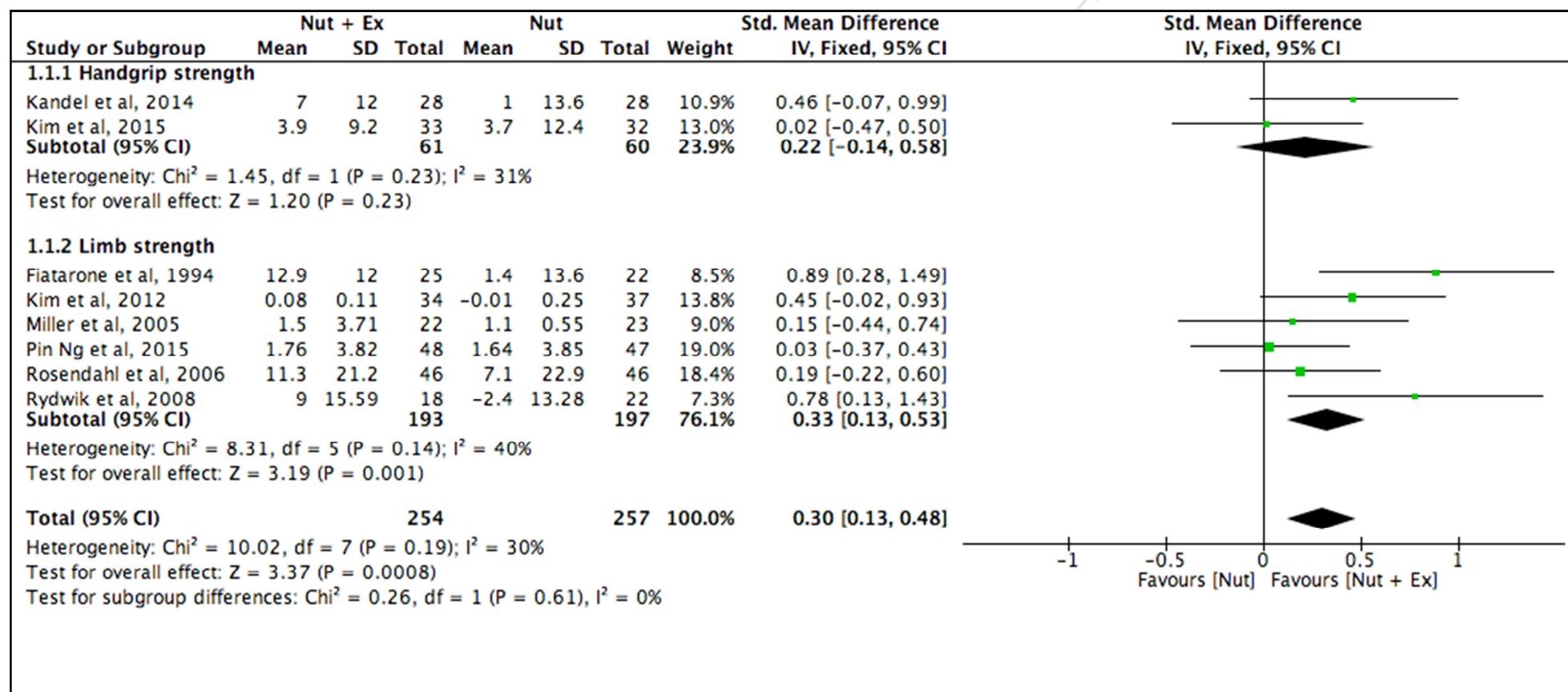
Set	Search terms	Search type	Results
1	<b>Title, Abstracts, Keywords:</b> nutritional support or diet* or nutrition* or food* or feed* or eat* or meal* or snack* or nutriment* or fortif* or nourish* or enrich* or energy dense or protein dense or nutritional supplement* or oral supplement* or high energy or high protein or nutritionally complete	87072	1
2	<b>Title, Abstracts, Keywords:</b> exercis* or physical* or active* or workout* or bodybuilder* or gym or sport* or train* or coach* or strength	133472	2
3	<b>Title, Abstracts, Keywords:</b> aged or old* or age-old or elder* or senior	403362	3
4	1 and 2 and 3	8857	4
5	4 and <b>NOT Title, Abstract, Keywords:</b> obese or obesity or overweight or type 2 diabetes or animal or infant or child* or adolescent*	4830	5

Figure 1:



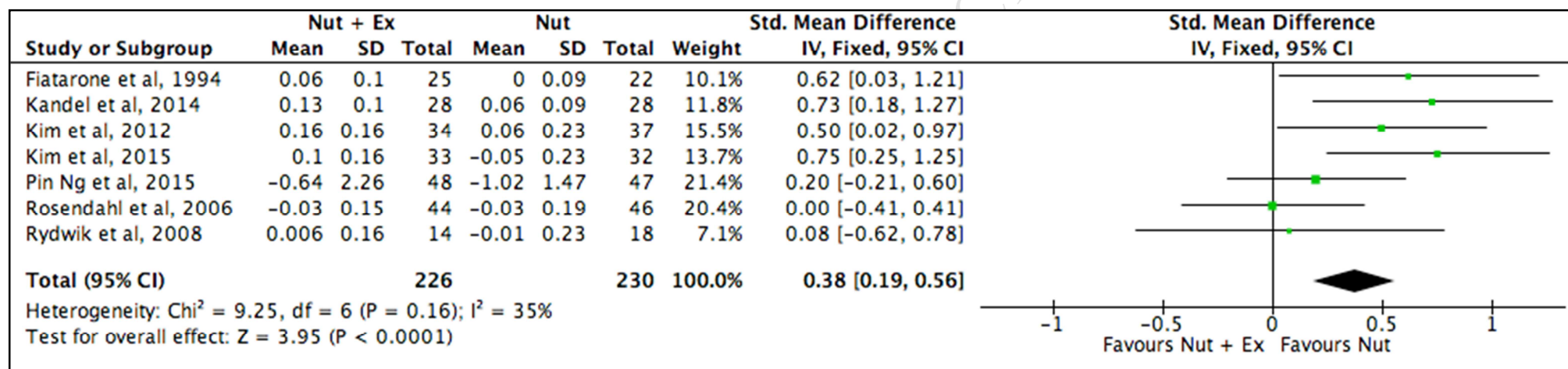
**Abbreviations:** RCT, randomised controlled trial.

Figure 2:



Abbreviations: CI confidence interval; IV inverse variance; SD standard deviation

Figure 3



Abbreviations; CI confidence interval; IV inverse variance; SD standard deviation